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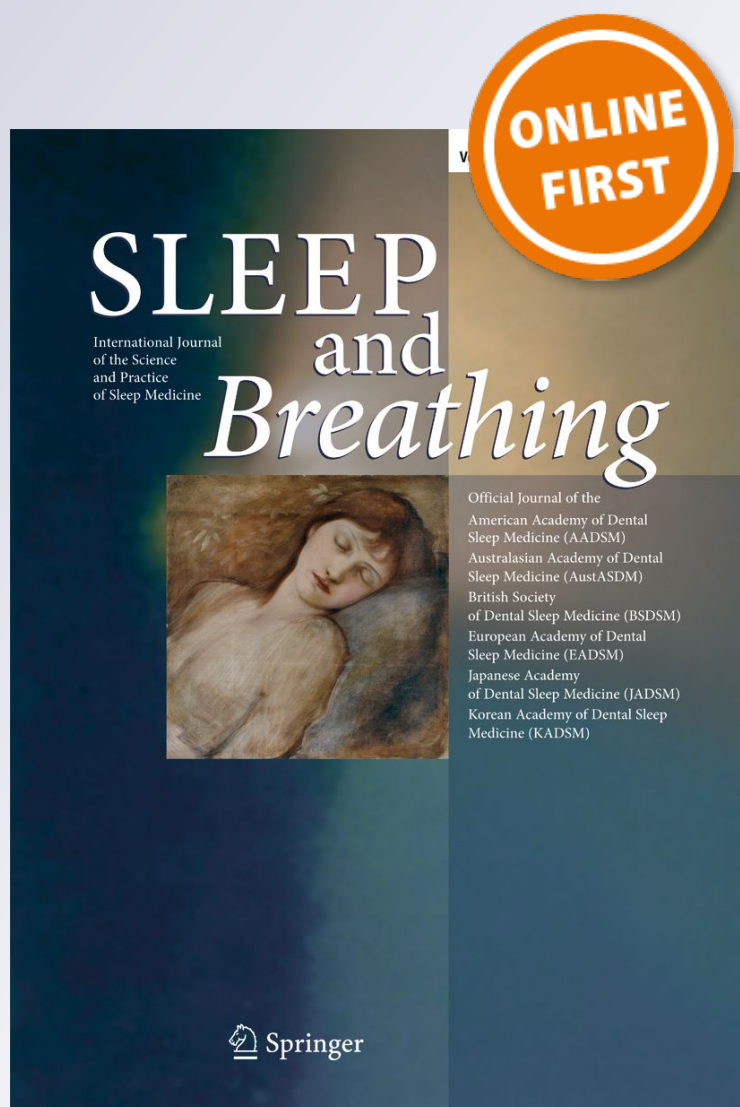
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The long-term effects of mandibular advancement splint on cardiovascular fitness and psychomotor performance in patients with mild to moderate obstructive sleep apnea: a prospective study

Ashutosh Gupta^{1,2} · Arvind Tripathi³ · Piyush Sharma⁴

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Abstract

Background Obstructive sleep apnea (OSA) manifests as a reduction or complete cessation of airflow despite an ongoing inspiratory effort, leading to hypoxemia and hypercapnia. The inability to maintain normal breathing reduces the oxygen saturation in blood leading to a cycle of various systemic implications. Hence, a prospective single arm study was planned to evaluate the long-term (2 years) effect of oral appliance on cardiovascular fitness and psychomotor performance in patients with mild to moderate obstructive sleep apnea.

Methods Thirty dentulous OSA patients (25 males; 5 females; age 41 ± 4 years; BMI 22 ± 5 ; AHI 5–30) were included in the study. All the patients were assessed for systolic blood pressure (SBP), diastolic blood pressure (DBP), apnea/hypopnea index (AHI), lipid peroxidation, and psychomotor vigilance test at baseline, 6 months, 1 year, and 2 years after wearing mandibular advancement splint (MAS).

Results A significant reduction in AHI, blood pressure, and lipid peroxidation was observed following MAS use. Psychomotor vigilance test showed marked improvement in response time with almost 0 count of lapses after 2 years of MAS use.

Conclusions The present study suggested that MAS can be helpful in improving cardiovascular fitness and cognitive response in patients with mild to moderate OSA.

Keywords Apnea/hypopnea index (AHI) · Oxidative stress · Psychomotor vigilance test · Mandibular advancement splint · Obstructive sleep apnea

Introduction

Obstructive sleep apnea is a silently progressive affliction with rising severity. While the worldwide prevalence range is 3.5–27%, it is 3–28% in males and 2.2–16% in females in India [1–5]. Hypertension is a frequent co-morbidity with a 28–57% presence in OSA patients and it is directly related to the severity of apnea [6–8]. Treatment of OSA with oral appliances has served to reduce hypertension too [9–12]. Comprehensive investigations have shown reductions in systolic, diastolic, as well as nocturnal systolic blood pressure following oral appliance use [13]. While oral appliances had shown positive effect on blood pressure, constant positive air pressure (CPAP) therapy produced confounding results with some studies reporting a positive, while others reporting a negative, outcome [14–17]. Intermittent hypoxia increases sympathetic activity, suppresses vagal tone, and ushers the production of reactive oxygen species and free radicals which, in turn, induce inflammation and degrade endothelial function to produce oxidative stress. Consequently, the nocturnal sleep time blood pressure and heart rate are deregulated and daytime tachycardia, hypertension, and eventually, congestive heart failure (CHF) follow [18–20]. Intermittent hypoxia can lead to cognitive impairment in form of deficient intelligence, thought, memory etc. Thus, OSA patients are highly prone to automobile and industry related mishaps [21, 22].

Oral appliances which essentially serve to reposition the tongue and/or mandible and thus serve to expand the upper airway have elicited interest in the recent times. Few data suggests the effectiveness of OA on cardiovascular fitness but its long-

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Table 1 Demographic characteristics of the patients

	Baseline	2 years	<i>P</i> value
Age (years)	41 ± 4	41 ± 4	
Gender (male/female)	25/5	25/5	
BMI	22 ± 5	22.3 ± 2.2	Not significant
AHI	22	3.53	<0.001
Mean O ₂ /range of O ₂ saturation	93.34 ± 1.01	94.71 ± 1.44	0.004
	Range (92.0–96.2)	Range (92.5–96.8)	

term effect is still controversial [23–27]. Hence, the present study was planned to evaluate the long-term (2 years) effect of oral appliance on cardiovascular fitness and psychomotor performance in patients with mild to moderate obstructive sleep apnea.

Materials and methods

The present study was conducted in the Department of Prosthodontics, Dental College & Hospital, Azamgarh. Prior approval of the institutional ethical committee had been obtained (committee for ethics in human research, Azamgarh).

The inclusion criteria were the following: apnea/hypopnea index ($5 < \text{AHI} < 15$ per h) and at least two of the following symptoms—daytime sleepiness, snoring, witnessed apneas, and fragmented sleep; patients that had inconvenience using CPAP.

The exclusion criteria were the following: at least five teeth per quadrant (excluding the third molar) for better retention for the mandibular advancement splint; TMJ disorders

including pain, significant joint crepitation, restricted mouth opening, or masticatory muscle tenderness; grossly carious and/or periodontal weakened tooth; systolic blood pressure of greater than 140 mm of Hg; and diastolic blood pressure of greater than 90 mm of Hg.

Thirty dentulous OSA patients (25 males; 5 females; age 41 ± 4 years; BMI 22 ± 5 ; AHI 5–30) (Table 1), who volunteered and provided written informed consent were included in the study. A mandibular advancement splint (MAS) was fabricated (Figs. 1 and 2). MAS was fixed at 70% of the maximum mandibular protrusion recorded, to avoid any possible anterior impingement of the glenoid fossae by the condyles as done by Keyf et al. [28]. Recall appointments were scheduled every week till 1 month. Patients that were comfortable with MAS after 1 month were assessed for systolic blood pressure (SBP), diastolic blood pressure (DBP), apnea/hypopnea index (AHI), lipid peroxidation, and psychomotor vigilance test at baseline, 6 months, 1 year, and 2 years after wearing mandibular advancement splint (MAS).

Fig. 1 MAS used for the study

Fig. 2 Intraoral view of MAS



Blood pressure

The blood pressure (BP) was measured between 9 and 11 a.m. BP readings were taken with patients in supine position and the arm at heart level. Blood pressure was measured baseline, at 6 months, 1 year, and 2 years using a BP monitor on the left upper arm.

Polysomnography [29]

A full night polysomnography was performed (S-7000, Cogent technologies, EMBLA System Inc.) that included electroencephalograms (EEG), electrooculogram, chin and

leg electromyogram (EMG), nasal airflow (nasal pressure cannula), oxygen saturation (pulse oximetry), movements of thorax and abdomen, electrocardiogram (ECG), and body position. Somnologica Studio software was used to calculate AHI. AHI was determined by the frequency of these events per hour during sleep time based on the results of the overnight polysomnography. Recordings were cross-checked manually for scoring of sleep stages, apneas, and hypopnea events.

Lipid peroxidation

Thiobarbituric acid reactive substance (TBARS) assay test was done according to the methods described by Lavie et al.

Fig. 3 Effect of mandibular advancement splint on AHI at 6 months, 1 year, and 2 years

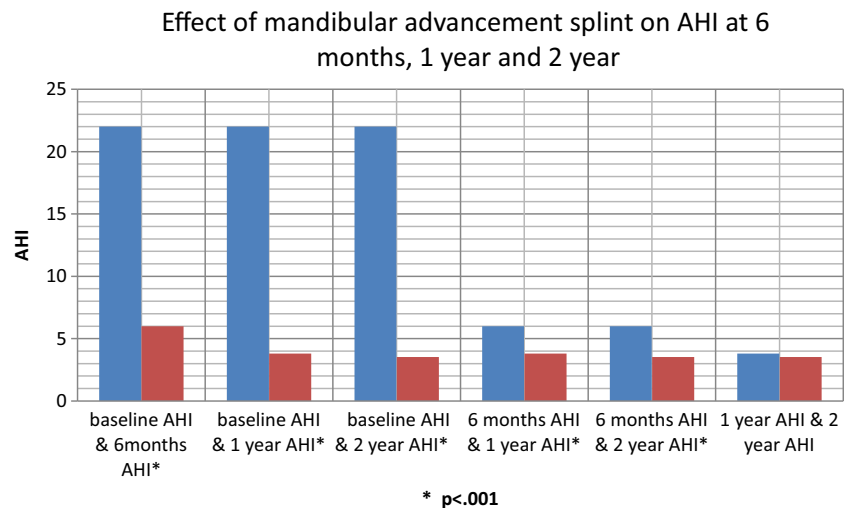
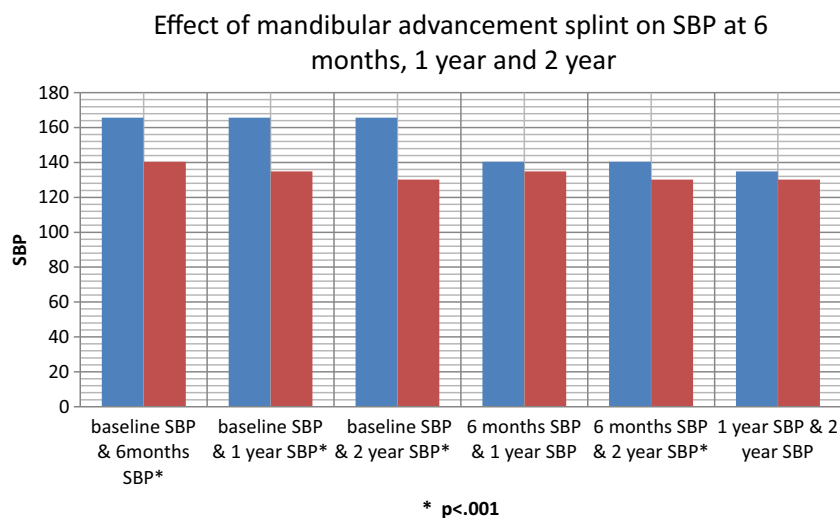


Fig. 4 Effect of mandibular advancement splint on systolic blood pressure (SBP) at 6 months, 1 year, and 2 years



[30]. TBARS, a byproduct of lipid peroxidation, was detected by the TBARS assay using thiobarbituric acid as reagent. This test involved condensation of two molecules of TBA with one molecule of malondialdehyde (MDA). Plasma samples were added to TBA dissolved in tetramethoxy propane to form TBARS working solution. The solution was then heated at 100 °C for 20 min and then centrifuged at 1000×g for 10 min. Data was expressed in terms of nanomoles of malondialdehyde (MDA) per milliliter of plasma.

Psychomotor vigilance test

The psychomotor vigilance test was performed using PC-PVT software [31]. The PC-PVT software involved two separate applications, the “Manager” and the “Tester”. The Manager was used to enter testing protocol (visual stimuli), patient information, and interpretation of the results at the end of PVT session. The Tester was used by the subject to perform a 5- or 10-min PVT session. During each

session, visual stimuli appeared at variable intervals of 2 to 10 s, and the patients were instructed to click on that stimulus using mouse as quickly as possible. A response time > 500 ms was considered as count of lapse. Average response time and count of lapses were generated at the end of the session.

Data was analyzed using Statistical Package for Social Sciences Version 15.0. Paired *t* test was used to evaluate before-after changes. A “*P*” value less than 0.05 indicated statistically significant difference. Pearson correlation analysis was used to correlate between AHI and other parameters.

Results

AHI

The baseline (0 month) and postoperative (6 month, 1 year and 2 years) AHI scores of OSA patients are shown in Fig. 3.

Fig. 5 Effect of mandibular advancement splint on diastolic blood pressure (DBP) at 6 months, 1 year, and 2 years

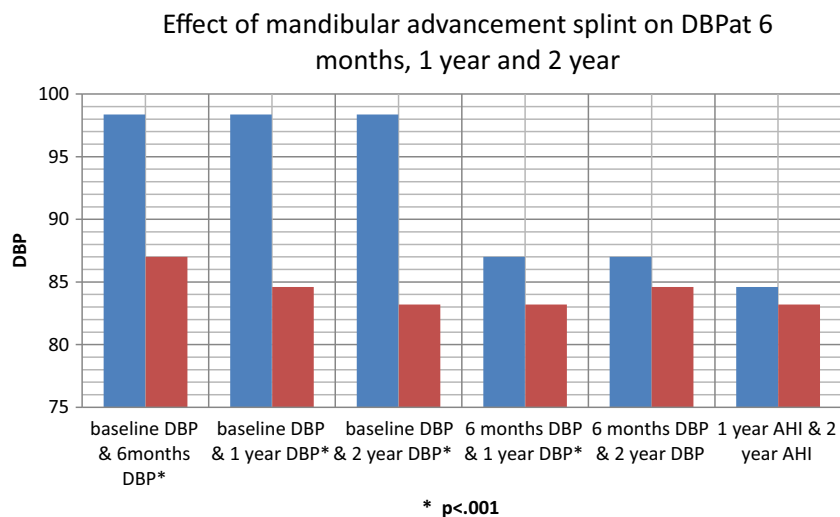
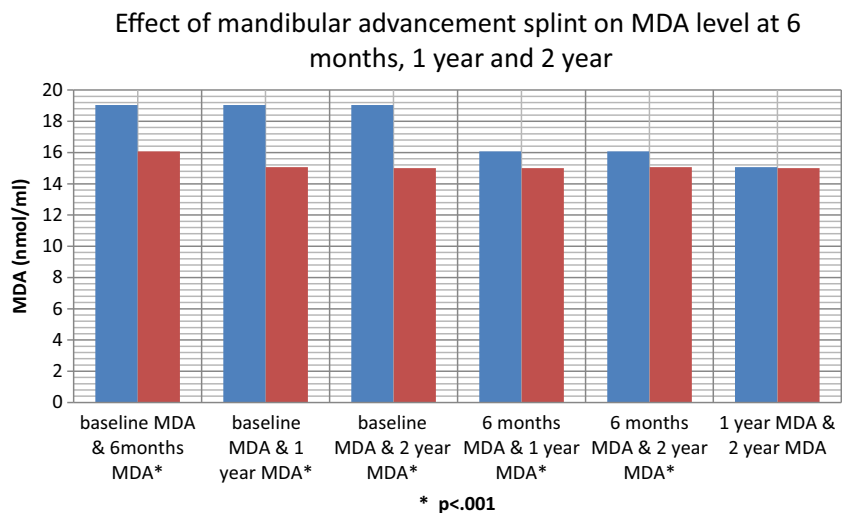


Fig. 6 Effect of mandibular advancement splint on MDA level at 6 months, 1 year, and 2 years



The mean (\pm SD) AHI at 0, 6, 1 year, and 2 years were 22.00 ± 3.98 , 6.00 ± 2.24 , 3.8 ± 1.54 , and 3.53 ± 1.36 , respectively. The mean AHI at posttreatment periods decreased (improved) as compared to baseline. On comparing the mean AHI between the periods, paired *t* test revealed significant difference ($P < 0.001$) and lower AHI at 6 months (difference of 16), 1 year (difference of 18.2), and 2 years (difference of 18.46) as compared to 0 month. However, mean AHI was the same ($P = 0.058$) at 1 year and 2 years.

Blood pressure

A significant reduction in systolic and diastolic blood pressure was observed at 6 months, 1 year, and 2 years (Figs. 4 and 5). On comparison of the mean values of systolic blood pressure at baseline, 6 months, 1 year, and 2 years, the maximum change was observed at 6 (difference of 25.33); thereafter, the change was almost constant (Fig. 4). Diastolic blood pressure followed the same trend. However, diastolic blood

pressure continued to decrease even after 2 years following use of MAD.

TBARS level (nanomoles of malondialdehyde (MDA) per milliliter of plasma)

The baseline (0 month) and postoperative MDA levels of OSA patients are shown in Fig. 6. The mean (\pm SD) MDA levels (nmol/ml) at 0, 6, 1 year, and 2 years were 19.04 ± 1.76 , 16.07 ± 1.04 , 15.06 ± 0.53 , and 15.06 ± 0.56 , respectively. The mean MDA levels at all posttreatment periods decreased (improved) as compared to baseline. Comparing the mean MDA levels of four periods (Fig. 6), paired *t* test revealed significant ($P < 0.001$) difference and lower MDA levels at 6 months (difference of 2.96), 1 year (difference of 3.97), and 2 years (difference of 4.03) as compared to 0 month. The maximum reduction was observed till 1 year; thereafter, the change was almost constant.

Fig. 7 Effect of mandibular advancement splint on average response time (PC-PVT test) at 6 months, 1 year, and 2 years

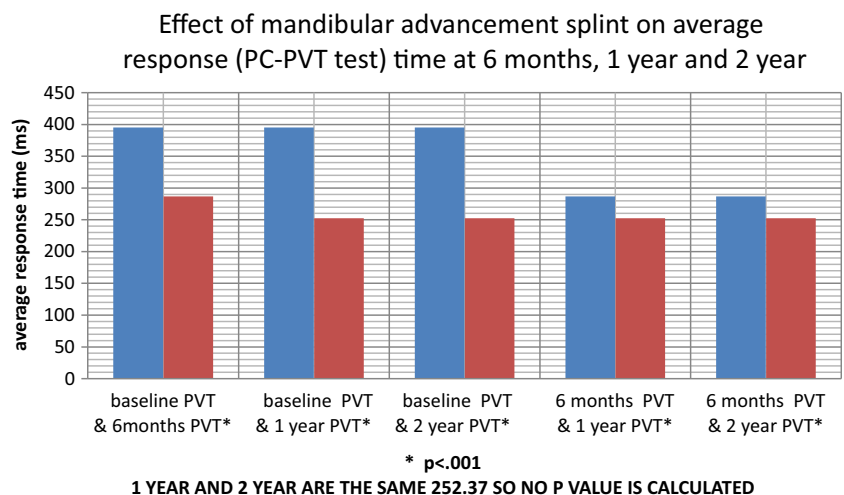
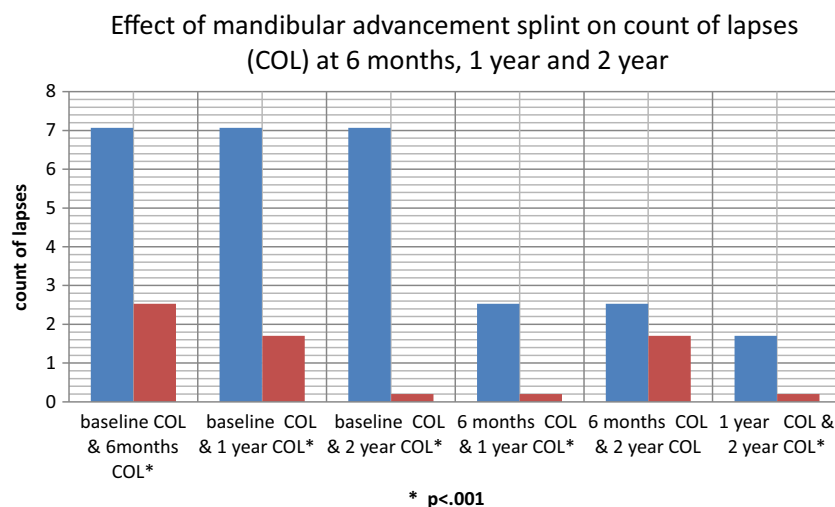


Fig. 8 Effect of mandibular advancement splint on count of lapses at 6 months, 1 year, and 2 years



Psychomotor vigilance test

The baseline (0 month) and postoperative average response time and count of lapses of OSA patients are shown in Figs. 7 and 8. There was a marked improvement in average response time at 6 months following use of MAD. Failure to response (count of lapses) almost reached to 0 at 2 years of MAD use.

On seeking the correlation between AHI, C-reactive protein level, MDA level, and average response time at 6 months, only average response time showed a mild positive correlation with AHI ($r = 0.382$) (Table 2). At 1 year, MDA showed a moderate positive correlation with AHI ($r = 0.4$) (Table 3), and at 2 years (Table 4), both MAD and C-reactive protein showed a moderate positive correlation with AHI ($r = 0.466$ and 0.483 , respectively).

Discussion

The basic pathophysiological factor in OSA is compromised upper airway. Oral appliances serve to advance the mandible within a range of physiological limits along the path of condylar guidance and bring about an increase in the volume of the hypopharynx. Although MAS is less effective than nCPAP, but MAS reduces AHI and improves sleep efficiency [7, 32, 33]. The AHI in present study reduced from 22 to 3.53.

Another interesting finding was TBARS levels and blood pressure. Oxidative stress and subsequent lipid peroxidation is important in the initiation and development of atherosclerosis. TBARS levels in the present study were significantly reduced following MAS use. In long term, there was no improvement in TBARS level after 1 year. Both systolic and diastolic blood pressure almost followed the similar trend with improvement till 1 year and constant thereafter.

Table 2 Correlation between AHI, C-reactive protein, MDA level, and average response time at 6 months

Correlations		6 months AHI	6 months C-reactive protein level (mg/dl)	6 months MDA level (nmol/ml)	6 months average response time (ms)
6 months AHI	Pearson correlation	1			
	Sig. (2-tailed)				
	N	30			
6 months C-reactive protein level (mg/dl)	Pearson correlation	.081	1		
	Sig. (2-tailed)	.672			
	N	30	30		
6 months MDA level (nmol/ml)	Pearson correlation	.089	.124	1	
	Sig. (2-tailed)	.639	.515		
	N	30	30	30	
6 months average response time (ms)	Pearson correlation	.382 ^a	-.025	.111	1
	Sig. (2-tailed)	.037	.895	.558	
	N	30	30	30	30

^a Correlation is significant at the 0.05 level (2-tailed)

Table 3 Correlation between AHI, C-reactive protein, MDA level, and average response time at 1 year

Correlations		1-year AHI	1-year C-reactive protein level (mg/dl)	1-year MDA (nmol/ml)	1-year average response time (ms)
1-year AHI	Pearson correlation	1			
	Sig. (2-tailed)				
	<i>N</i>	30			
1-year C-reactive protein level (mg/dl)	Pearson correlation	.189	1		
	Sig. (2-tailed)	.317			
	<i>N</i>	30	30		
1-year MDA (nmol/ml)	Pearson correlation	.405 ^a	.057	1	
	Sig. (2-tailed)	.026	.765		
	<i>N</i>	30	30	30	30
1-year average response time (ms)	Pearson correlation	-.007	-.055	-.374 ^a	1
	Sig. (2-tailed)	.970	.774	.042	
	<i>N</i>	30	30	30	30

^a Correlation is significant at the 0.05 level (2-tailed)

Only few studies [6] so far have reported the long-term effect of MAS on cardiovascular system, exhibiting a marked reduction in diastolic blood pressure. Since cardiovascular morbidity is an invariable sequel to OSA even in stable patients, we believe MAS is a viable treatment alternative for patients non-responsive to CPAP therapy and may serve to retard and reduce cardiovascular complications.

The improvement in task time as a descriptor of speed, accuracy, and mental endurance might be of clinical significance because the reaction time and psychomotor speed are crucial in driving performance and may reduce the risk for motor vehicle accidents [18]. Psychomotor vigilance test

showed marked improvement in response time with almost 0 count of lapses after 2 years of MAS use. Our results agree with the results reported by Galic et al. [11] who evaluated oral appliance effect on long-term cognitive and psychomotor performance. Treatment with MAS could also be important in an effort to reduce workplace accidents that are associated with suboptimal speed of thinking and responding.

A negligible change in BMI was observed at 2 years following MAS use. BMI was not evaluated at 6 months and 1 year; however, it would be interesting to observe MAS effect on BMI of the patients. Another limitation in the present study was no reference group was included that would have

Table 4 Correlation between AHI, C-reactive protein, MDA level, and average response time at 2 years

Correlations		2-year AHI	2-year C-reactive protein level (mg/dl)	2-year MDA (nmol/ml)	2-year average response time (ms)
2-year AHI	Pearson correlation	1			
	Sig. (2-tailed)				
	<i>N</i>	30			
2-year C-reactive protein level (mg/dl)	Pearson correlation	.466 ^a	1		
	Sig. (2-tailed)	.047			
	<i>N</i>	30	30		
2-year MDA (nmol/ml)	Pearson correlation	.483 ^b	.186	1	
	Sig. (2-tailed)	.007	.325		
	<i>N</i>	30	30	30	
2-year average response time (ms)	Pearson correlation	-.133	.186	-.273	1
	Sig. (2-tailed)	.484	.324	.145	
	<i>N</i>	30	30	30	30

^a Correlation is significant at the 0.05 level (2-tailed)

^b Correlation is significant at the 0.01 level (2-tailed)

correlated between normal OSA patients and patients with hypertension.

Conclusion

The present study suggested that MAS can be helpful in improving cardiovascular fitness and cognitive response in patients with mild to moderate OSA.

Compliance with ethical standards

Funding No funding was received for this research.

Conflict of interest None.

Ethical approval Prior approval of the institutional ethical committee had been obtained.

Informed consent Written informed consent was obtained from all the subjects.

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